PTO/SB/05 (05-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

July 18, 2003

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UTILITY
PATENT APPLICATION
TRANSMITTAL

Signature

					ney Docket No. PZ020P2C1						
			First In	ventor							
PATENT APPLICATION				nventor Craig A. Rosen							
TRANSMITTAL			Title	125 HUMAN SECRETED PROTEINS							
(Only for new nonprovisional applications under 37 CFR 1.53(b)) Expre				ss Mail Label No.							
	ADDI ICATION ELE	MENTS				MS Patent App					
APPLICATION ELEMENTS				ADDRESS TO: Commissioner for Patents P.O. Box 1450							
See MPEP chapter 600 concerning utility patent application contents.				Alexandria, VA 22313-1450							
1. X Fee Transmittal Form (e.g., PTO/SB/17)				7. CD-ROM or CD-R in duplicate, large table or							
(Submit an	original, and a duplicate for fee to claims small entity status			o Nu		Program <i>(Appendix)</i> Mor Amino Acid Segu		l			
	FR 1.27.	J.		Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)							
3. X Specific	ation [Total Pages 65	3 1	а.	χ Comput	ter Readable Form (C	RF)	1			
- Desc - Cross - State - Refe or a - Back - Brief - Brief	arrangement set forth below) intrive title of the invention. Reference to Related Applicament Regarding Fed sponsore ence to sequence listing, a taking the sequence listing appearance of the Invention Summary of the Invention Description of the Drawings (if ed Description)	ations d R & D ole, ndix	[b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. X Paper (240 pages) c. X Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS 9. Assignment Papers (cover sheet & document(s))							
- Clain	(s)			10 F	ี่ 37 CFR 3	.73(b) Statement	Power of				
	act of the Disclosure		<u> </u>	(when there is an assignee) Attorney							
4. X Drawing	(s) (35 U.S.C. 113)	[Total Sheets	3 1	11.	⊒	ranslation Document					
5. Oath or Decla	ation	[Total Sheets 2	24]	12. Information Disclosure Copies of IDS Citations							
a. Nev	yly executed (original or co	ору)		13. Preliminary Amendment							
	y from a prior application			14. X Return Receipt and Serial Number Postcards (MPEP 503)							
(for continuation/divisional with Box 18 completed)					(Should be specifically itemized)						
i. DELETION OF INVENTOR(S) Signed statement attached deleting				(if foreign priority is claimed)							
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).				16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.							
	355 37 3111 1.55(0)(2) 4115 1.55(0).				Other:			1			
6. X Applicat	on Data Sheet. See 37 Cl	FR 1.76									
				y the requ	iisite informa	ation below and in the	first sentence of the specificat	on			
X Continu	or in an Application Data	Continuation		IP) of p	orior applicat	tion No.:	09/974,879				
Prior application	n information: Examiner	L Marv∃	K. Zem	an .		Art Unit:	1631				
						· · · · · · · · · · · · · · · · · · ·	h or declaration is supplied un	der			
							eby incorporated by reference.	,ei			
		19. CC	PRRESP	ONDEN	CE ADDRE	ESS ,					
X Custom	er Number or Bar Code La	abel .		22195		or C	Correspondence address below	,			
Name											
Address											
City		Sta	ite			Zip Code					
Country		Tel	lephone			Fax					
Name (Print	Type) Mark J. Hy	/man		Reg	gistration No	. (Attorney/Agent)	46,789\				

Under the Paperwork Reduction Act of 1995, no persons are requ	uired to a	respond	U.S.	Patent a	nd Tradema	ark Office: U.S	ough 04/30/2003. OM	COMMERCE	
	Complete if Known								
FEE TRANSMITTAL	Application Number				er	Not Yet Assigned			
for FY 2003	Filing Date				Concurrently Herewith				
Effective 01/01/2003, Patent fees are subject to annual revision.	First Named Inventor			ntor	Craig A. Rosen				
	Examiner Name					Not Yet Assigned			
Applicant claims small entity status. See 37 CFR 1.27	Art Unit					N/A			
TOTAL AMOUNT OF PAYMENT (\$) 888.00	Attorney Docket No.				D.	PZ020P2C1			
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)							
Check Credit Money Order Other None	3. ADDITIONAL FEES								
X Deposit Account	Large	Entity	Smal	I Entity					
Deposit Account Number 08-3425	Fee Code	Fee (\$)	Fee Code	Fee (\$)	-	Fee Description Fee I			
Deposit Account Human Genome Sciences, Inc.	1051	130	2051	65	Surcharge	- late filing fe	ee or oath		
Name The Director is hereby authorized to: (check all that apply)	1052	50	2052	25		- late provisi	onal filing fee or cover		
X Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	sheet. Non-Englis	sh specificatio			
X Charge any additional fee(s) during the pendency of this application	1812	2,520	1812	2,520	For filing a r	request for ex	parte reexamination		
Charge fee(s) indicated below, except for the filing fee	1804	920*	1804	920*			of SIR prior to		
to the above-identified deposit account.	1805	1.840*	1805	1 840*	Examiner a Requesting	publication of	of SIR after		
FEE CALCULATION	1251	110	2251	55	Examiner a	action for reply withi			
1. BASIC FILING FEE	1252	410	2252				n second month		
Large Entity Small Entity	1253	930	2253	465			n third month		
Fee Fee Fee Fee Fee Pee Paid Code (\$) Code (\$)	1254	1,450	2254	725	Extension f	for reply withi	n fourth month		
1001 750 2001 375 Utility filing fee 750.00	1255	1,970	2255	985	Extension f	for reply withi	n fifth month		
1002 330 2002 165 Design filing fee	1401	320	2401	160	Notice of A	ppeal			
1003 520 2003 260 Plant filing fee 1004 750 2004 375 Reissue filing fee	1402	320	2402	160	_	of in support of	• •		
1004 750 2004 375 Reissue filing fee 1005 160 2005 80 Provisional filing fee	1403 1451	280 1,510	2403 1451	140	•	r oral hearing	olic use proceeding		
	1452	110	2452	55		revive – unav			
SUBTOTAL (1) (\$) 750.00	1453	1,300	2453	650		on to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,300	2501	650	Utility issue	fee (or reiss	ue)		
Extra Fee from Claims below Fee Paid	1502	470	2502	235	Design issu	ue fee			
Total Claims 23 -20** = 3 x 18.00 = 54.00	1503	630	2503	315	Plant issue	fee			
Independent	1460	130	1460	130	Petitions to	the Commiss	sioner		
Multiple Dependent	1807	50	1807	50	Processing	fee under 37	CFR 1.17(q)		
Large Entity Small Entity Fee Fee Fee Fee	1806	180	1806	180			on Disclosure Stmt		
Code (\$) Code (\$) Fee Description	8021	40	8021	40			ssignment per of properties)]	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1809	750	2809	375		mission after	final rejection		
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375	For each ac	dditional inver 37CFR 1.129	ntion to be		
1204 84 2204 42 ** Reissue independent claims	1801	750	2801	375			xamination (RCE)		
over original patent 1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900	Request for of a design	expedited ex	kamination		
and over original patent	Other fo	ı ee (spec	ify)		o, a design	-phication		+	
SUBTOTAL (2) (\$) 138.00 **or number previously paid, if greater, For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00							0.00	
SUBMITTED BY			-			Complete (if applicable)		
Name (Print/Type) Mark J. Hyman		ation No	46	789			(240) 314-1224		
Signature / / / / / / /	Allome	y/Agent)	1.5		<u>-</u>	Date	July 18, 2003		
T VVM V V							July 10, 2003		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Docket No.: PZ020P2C1

Rosen et al.

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: Concurrently Herewith

Examiner: Not Yet Assigned

For: 125 HUMAN SECRETED PROTEINS

STATEMENT UNDER 37 C.F.R. § 1.821(f)

BOX SEQUENCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.821(f), the undersigned hereby certifies that the sequence listing information recorded in computer readable form and submitted herewith is identical to the written (on paper) Sequence Listing submitted herewith.

Respectfully submitted,

Dated: July 18, 2003

Mark J. Hyman

Registration No.: 46,789

HUMAN GENOME SCIENCES, INC.

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